

African Development Topics Thèmes sur le développement de l'Afrique

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Economic Commission for Africa
Commission économique pour l'Afrique

**Focus of this issue:
Governance for a Progressing Africa**

**Dossier de ce numéro:
Gouvernance pour une Afrique en marche**

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PREFACE

African Development Topics is a new bibliographic journal issued two times per year by the Library of the United Nations Economic Commission for Africa (ECA). It marks a further step to expand our activities by offering more value-added and timely information and knowledge services to users. The release of this publication is part of our overall initiative to promote knowledge sharing within the ECA, by presenting current materials in the collection that can be used to further the research activities of staff members.

Each issue of African Development Topics focuses on a topic of current interest to the ECA, to assist staff in selecting appropriate materials for their research. The topics will be decided based on forthcoming meetings, forums, programmes or other initiatives being undertaken in the divisions. We welcome suggestions from staff members as to topics they would like to see highlighted in future issues, and will do our best to accommodate user requests.

The first issue of this output focuses on governance, and is timed to coincide with the African Development Forum IV whose theme is "Governance for a Progressing Africa". As such, this issue features an in-depth bibliographic review covering governance resources in the Library, as well as bibliographic records on governance and other related topics. We would like to thank everyone who assisted us in creating the governance portions of this output, in particular Stéphane Oertel of the Development Policy Management Division, who devoted time from his busy schedule to provide us with his invaluable insights.

In addition to providing value-added bibliographic features, African Development Topics combines and replaces the Library's previous bibliographic outputs. This output supersedes ECA-in-print, Index to Official African Publications, Africa Index and New Acquisitions, which ceased publication in December 2003. However, this output continues to present the current monographs, periodical articles, titles of series and occasional papers, ECA and other UN publications as well as official government publications that were included in the discontinued outputs.

In order to consult the bibliographic records with greater ease, bilingual descriptor terms selected from the United Nations Bibliographic Information System (UNBIS) thesaurus have been used to describe the subject contents. The bibliographic records can be accessed through the subject, author and geographic indexes located at the end of the publication.

African Development Topics is designed to serve the research needs of ECA staff members. In order to help us better serve these needs, we would appreciate any feedback you may have. Please send all comments or questions to ecalibadmin@uneca.org.

We hope you find this publication to be useful to your research needs.

PREFACE

Thèmes sur le développement de l'Afrique est une nouvelle revue bibliographique publiée deux fois par an par la bibliothèque de la Commission économique pour l'Afrique (CEA). Elle représente une étape supplémentaire de l'expansion de nos activités, qui vise à offrir à temps à notre clientèle davantage de services à valeur ajoutée relatifs à l'information et aux connaissances. Cette publication fait partie de l'initiative globale de la bibliothèque tendant à favoriser le partage des connaissances au sein de la CEA, en présentant les documents figurant dans cette collection pouvant servir à faire avancer les activités de recherche.

Chaque numéro donne une revue bibliographique détaillée d'un thème d'actualité à la CEA, pour aider le personnel à choisir la documentation appropriée à ses travaux de recherche. Le choix des thèmes est fondé sur les réunions, forums et programmes à venir ou sur d'autres initiatives prises par les divisions. Les suggestions des membres du personnel quant aux sujets qu'ils voudraient voir traiter dans les prochains numéros sont les bienvenues, et les services de la bibliothèque feront de leur mieux pour répondre à ces demandes.

Le premier numéro de cette revue est consacré à la gouvernance, et il est prévu que sa publication coïncide avec le Forum pour le développement de l'Afrique, dont le thème est « Gouvernance pour une Afrique en marche ». Ce numéro présente une revue bibliographique détaillée des ressources disponibles à la bibliothèque sur la gouvernance, ainsi qu'une bibliographie sur la gouvernance et sur d'autres sujets pertinents. Nous tenons à remercier tous ceux qui nous ont aidés à constituer les chapitres sur la gouvernance dans ce numéro, en particulier Stéphane Oertel de la Division des politiques et de la gestion du développement, qui, malgré son calendrier chargé, a pris le temps de fournir une aide d'une valeur inestimable.

Au-delà des dossiers bibliographiques à valeur ajoutée, **Thèmes sur le développement de l'Afrique** combine et remplace les revues bibliographiques précédentes de la bibliothèque, à savoir ECA-in-Print, Répertoire des publications officielles africaines, Africa Index et Bibliographie des nouvelles acquisitions de la bibliothèque de la CEA, dont la publication a été interrompue en décembre 2003. Cependant, cette revue continuera à présenter les monographies, les articles périodiques, les titres des publications en série et les études spéciales de la CEA et d'autres publications de l'Organisation des Nations Unies ainsi que les documents officiels gouvernementaux que présentaient les revues supprimées.

Pour faciliter la consultation des titres, on a utilisé des descriptions bilingues tirées du thesaurus du Système d'information bibliographique de l'ONU (UNBIS) pour décrire le contenu des articles et des documents. De plus, on peut accéder aux titres en utilisant les indexés par sujets, auteurs et zones géographiques, situés en fin de publication.

Thèmes sur le développement de l'Afrique a été créé pour répondre aux besoins du personnel de la CEA en matière de recherche des membres de la CEA. Pour mieux vous aider, nous apprécierons vos commentaires sur la publication. Vous pouvez envoyer vos commentaires ou vos questions à l'adresse suivante : ecalibadmin@uneca.org.

Nous espérons que vous trouverez cette publication utile.

FOCUS: GOVERNANCE FOR A PROGRESSING AFRICA

The African continent has undergone major political and institutional changes over the past decade, and there are still important challenges that lie ahead.

As Africa continues its political transformation, recognition of the role that governance plays in the advancement of the continent is taking root at all levels of society. Sustainable growth and development are made possible in a political climate that promotes responsible, open and accountable leadership. International and regional initiatives are progressively highlighting this aspect in reducing the disparities that exist in the world.

On a global scale, good governance is seen as a driving force in achieving the UN's Millennium Development Goals (MDGs) of eradicating extreme poverty, attaining universal primary education, promoting gender equality and creating a global partnership for development. An African initiative, the New Partnership for Africa's Development (NEPAD), highlights responsible political and social leadership as a driver of real and sustainable growth for the continent as a whole. NEPAD was established in 2001 as a mechanism for African leaders to address the problems that plague the continent. Through NEPAD, African leaders and policymakers recognize their role in achieving peace, security, and sustainable political and socio-economic development.

In particular, accountability by African governments towards one another, as well as their own people, is becoming an ever greater reality through the African Peer Review Mechanism (APRM), a voluntary instrument that ensures government conformity to the agreed political, economic and corporate governance values, codes and standards contained in the Declaration on Democracy, Political, Economic and Corporate Governance agreed by African Union (AU) member states in 2002.

Through the project "Measuring and Monitoring Progress Towards Good Governance", the ECA is promoting governance as a vehicle for economic and institutional growth and development across the African continent and actively promoting NEPAD and APRM, along with other pan-African initiatives. The project emphasizes three priority areas: (1) Political representation; (2) Institutional effectiveness and accountability, and: (3) Economic management and corporate governance. This, along with the results of the fourth meeting of the African Development Forum (ADF IV), will form the backbone of the upcoming Africa Governance Report.

The theme of ADF IV, held from 11 to 15 October 2004, is "Governance for a Progressing Africa". ADF IV, organized by the ECA, in partnership with the AU and African Development Bank, takes as a premise that *"good governance is essential for transforming Africa's economy"*. The ECA *"seeks to support and strengthen the "capable state"-one with transparent, accountable political and economic systems, and efficient public institutions providing an enabling environment for the private sector and civil society to play their respective roles in national efforts"*.

The ECA's Africa Governance Report will focus on the following issues: the political and socio-economic setting; defining and measuring governance; political representation; economic

management and corporate governance; institutional effectiveness and accountability for state and non-state actors; and institutional capacity building and governance.

Political representation

Political representation takes as its base the belief that governments are accountable to the citizens that they represent. Citizens have the right to participate in democratic government, supported by a systematic and transparent legal system, and in the construction of democratic institutions that are capable of producing sound economic and social policies. Mechanisms should exist to ensure that governments remain accountable to their citizens. Political representation includes issues relating to the distribution of power in the political system, the electoral process and the introduction of diverse and competing political parties in the political arena.

The ECA Library makes accessible numerous materials on governance to assist staff in their research in this area

Materials on this topic held at the ECA Library include:

Démocratisation en Afrique au sud du Sahara : transitions et virage : un bilan de la littérature (1995-1996) / Klaas van Walraven, Céline Thiriot. Leyde, Pays-Bas : Afrika-Studiecentrum, 2002. Research reports (Rijksuniversiteit te Leiden. Afrika-Studiecentrum) ; 66/2002. iv, 219 p.

Globalisation and democratic governance in Tanzania / Chachage Seithy L Chachage. Addis Ababa : Development Policy Management Forum, 2003. DPMF Occasional paper, no. 10. 38 p.

Governance and growth / Mark Gradstein. Journal of development economics, vol. 73, no. 2 (Apr. 2004). p. 505-518.

Government and environmental policy / Forum for Social Studies. Addis Ababa : Forum for Social Studies, 2004. Consultation papers on the environment, no.5. 23 p.

Leadership and social transformation in the public sector : moving from challenges to solutions / UN. New York : United Nations, 2004. v, 154 p.

Report of the conference on "The challenges of globalization in Africa : what role for civil society and other stakeholders?" / Development Policy Management Forum. Addis Ababa : Development Policy Management Forum, 2003. 60 p.

The quest for peace in Africa : transformations, democracy and public policy / Alfred G. Nhema (editor). Utrecht : International Books, 2004, 416 p.

Journals covering political representation include **Democratization, Development and Change, Governance : an international journal of policy, administration and institutions** and the **Review of Policy Research**.

Economic management and corporate governance

Economic management and corporate governance covers the mechanisms set in place to govern economic and financial institutions and to establish the rules and regulations needed for the growth of the private sector. The private sector, and foreign direct investment, is increasingly recognized as an engine for growth following the dismantling of controlled economic systems in the 1990s. The core elements of economic management and corporate governance include public financial management and accountability, the integrity of monetary and financial systems, private sector development, the efficiency and equity of the tax system, investment promotion, accounting and auditing and investment and crime.

Materials on this topic held at the ECA Library include:

Governance and bank valuation / Gerard Caprio, Luc Laeven, and Ross Levine. Washington, D.C. : World Bank, [2003]. Policy research working papers ; 3202. 48 p.
<http://www.econ.worldbank.org>.

Industrial structure, technical change, and the role of government in development of the electronics and information industry in Taipei, China / Yeo Lin. Manila : Asian Development Bank, 2003. ERD working paper ; no. 41.
http://www.adb.org/Documents/ERD/Working_Papers/wp041.pdf.

Le rôle des exportations dans le processus de croissance économique dans la Côte d'Ivoire: ses implications pour des stratégies de création d'emplois durables / Félix Fofana N'Zué. African development review, vol. 15, no. 2/3 (Dec.2003). p. 199 – 217.

Lurking in the shadows : the informal sector and government policy / Jane Ihrig and Karine S. Moe. Journal of development economics, vol. 73, no. 2 (Apr. 2004). p. 541-557.

Journals covering economic management and corporate governance include **Corporate governance: an international review**, **Journal of Political Economy**, **Journal of Regulatory Economics**, **Public Money & Management** and **Review of African Political Economy**.

Institutional effectiveness and accountability

The development of strong national institutions capable of responding to the demands of citizens is essential for the development of good governance. Institutional effectiveness at the state level is based, among others, on the respect for the rule of law, judicial independence, transparency and balance and independence between the different branches of government. While the state remains a principal actor in development, the role of non-state actors (e.g. civil society organizations, the private sector or the media), should not be de-emphasized due to the enormous contributions they have already played in national development. Institutional effectiveness and accountability covers the three branches of government (the judicial, legislative and executive branches), the respect for human rights, improved law enforcement mechanisms and the rule of law, civil society organizations and the independence of the media.

Materials on this topic held at the ECA Library include:

African decentralization : local actors, powers and accountability / Jesse C. Ribot.
Geneva: United Nations Research Institute for Social Development, 2002. Democracy, governance and human rights programme paper ; no. 8. ix, 89 p.

Building capacity in Africa : the impact of institutional, policy and resource factors / Mulatu Wubneh. African development review, vol. 15, no. 2/3 (Dec.2003). p. 165-198.

Does greater accountability improve the quality of delivery of public services? Evidence from Uganda / Klaus Deininger and Paul Mpuga. Washington, D.C. : World Bank, [2004]. Policy research working papers ; 3277. 26 p. <http://www.econ.worldbank.org>.

Feeling the heat? Anticorruption mechanisms in comparative perspective / Moshe Maor. Governance, vol. 17, no. 1 (Jan. 2004), pp. 1-28.

Indicators for monitoring the Millennium Development Goals : definitions, rationale, concepts, and sources / United Nations Development Group. New York : United Nations, 2003. viii, 106 p. : ill. <http://millenniumindicators.un.org/unsd/mifre/Metadatajn30.pdf>.

Negotiating transparency : the role of institutions / Bo Bjurulf and Ole Elgstrøm. Journal of Common market studies, vol. 42, no. 2 (June 2004). p. 249- 269.

Red tape and corruption / Sergie Guriev. Journal of development economics, vol. 73, no. 2 (Apr. 2004). p. 489-504.

Searching for innovations in governance and public administration for poverty reduction : concepts, experiences and lessons for the future / United Nations. New York : United Nations, 2004, v, 140 p.

South Africa human development report 2003 : the challenge of sustainable development in South Africa : unlocking the people's creativity / UNDP. Cape Town : Oxford University Press, 2003. xxii, 295 p.

To serve the community or oneself : the public servant's dilemma / Abigail Barr, Lindelöw Magnus, and Pieter Serneels. Washington, D.C. : World Bank, [2003]. Policy research working papers ; 3187. <http://www.econ.worldbank.org>.

Working with local institutions to support sustainable livelihoods / by Robin Marsh. Rome : Rural Development Division, Food and Agriculture Organization of the United Nations, 2003. v, 79 p.

Journal collection on governance and related topics

Journals covering institutional effectiveness and accountability include the **International Public Management Journal**, **International Review of Administrative sciences** : an

international journal of comparative public administration, Policy Studies Journal, Public Administration: an international quarterly, Public Administration Review, Public Integrity and Public Management Review.

ECA Library

The ECA Library has a wealth of information covering a wide range of governance issues. The Library also maintains an extensive journal collection covering governance and development issues in hardcopy, CD-Rom and online format. We offer desktop access to our on-line journals. If you cannot find an article you need in our collection, let us know.

The ECA Library is here to help you in your research. Contact any member of the Library staff to see how we can be of assistance to you.

DOSSIER: GOUVERNANCE POUR UNE AFRIQUE EN MARCHÉ

Le continent africain a connu d'importants changements politiques et institutionnels au cours de la dernière décennie mais il a encore de grands défis à relever.

Au fur et à mesure que l'Afrique poursuit sa transition politique on admet de plus en plus, à tous les niveaux, le rôle de la gouvernance dans l'évolution du continent. La croissance et le développement durables ne sont possibles que dans un climat politique favorisant un leadership responsable et transparent. Des initiatives nationales et internationales soulignent de plus en plus que la bonne gouvernance permet de réduire les inégalités qui existent dans le monde.

A l'échelle internationale, la bonne gouvernance est perçue comme le ressort permettant de réaliser les Objectifs du Millénaire pour le développement (OMD), qui consistent à éliminer l'extrême pauvreté, assurer un enseignement primaire pour tous, promouvoir l'égalité des sexes, et créer un partenariat mondial pour le développement. Une initiative africaine, le Nouveau Partenariat pour le développement de l'Afrique (NEPAD), souligne qu'un leadership politique et social responsable est une source de croissance réelle et durable de l'ensemble du continent. Créé en 2001, le NEPAD est un mécanisme par lequel les chefs d'Etat et de gouvernement africains abordent les problèmes qui touchent durement le continent. Par le NEPAD, les dirigeants africains reconnaissent qu'ils ont un rôle à jouer pour instaurer la paix, la sécurité et un développement politique et socio-économique durable.

Plus particulièrement, la responsabilité des gouvernements africains les uns envers les autres, ainsi qu'envers leurs citoyens, devient une réalité plus présente grâce au Mécanisme africain d'évaluation par les pairs (MAEP), auquel les gouvernements se soumettent volontairement pour montrer qu'ils respectent les valeurs, codes et normes de gouvernance politique, économique et des entreprises, contenus dans la Déclaration sur la démocratie, la gouvernance politique, économique et des entreprises adoptées par les Etats membres de l'Union africaine (UA) en 2002.

Par son projet intitulé *Mesure et suivi des progrès accomplis dans la voie de la bonne gouvernance en Afrique*, la CEA soutient la gouvernance en tant que moyen d'assurer la croissance et le développement économique et institutionnel sur le continent africain et fait activement la promotion du NEPAD et du MAEP, ainsi que d'autres initiatives pan-africaines. Le projet met l'accent sur trois domaines prioritaires : 1) la représentation politique ; 2) l'efficacité et la responsabilité institutionnelles, et ; 3) la gestion économique et la gouvernance des entreprises. Les données qui seront recueillies dans ce cadre et les résultats de la quatrième réunion du Forum pour le développement de l'Afrique (ADF IV), seront l'ossature du Rapport sur la gouvernance en Afrique, à paraître.

Le thème d'ADF IV, tenu du 11 au 15 octobre 2004, est « gouvernance pour une Afrique en marche ». Organisé par la CEA, en partenariat avec l'UA et la Banque africaine de développement, ADF IV part de principe que la bonne gouvernance est indispensable pour transformer les économies africaines. La CEA « s'emploie à appuyer et à renforcer «l'Etat compétent», un Etat doté de systèmes politiques et économiques transparents et responsables et

d'institutions publiques efficaces qui contribuent à créer un environnement permettant au secteur privé et à la société civile de jouer le rôle qui leur revient au niveau national ».

Le Rapport sur la gouvernance en Afrique traitera des questions suivantes : 1) environnement politique et socio-économique ; 2) définition et mesure de la gouvernance ; 3) représentation politique ; 4) gestion économique et la gouvernance des entreprises ; (5) efficacité institutionnelle et responsabilité des organisations étatiques et non étatiques, et ; (6) renforcement des capacités institutionnelles et gouvernance des institutions.

Représentation politique

La représentation politique a pour principe que les gouvernements doivent rendre compte aux citoyens qu'ils représentent. Les citoyens ont le droit de participer au processus démocratique, fondé sur un système juridique systématique et transparent, et à la construction d'institutions démocratiques en mesure de produire des politiques économiques et sociales judicieuses. De plus, il faut des mécanismes permettant de s'assurer que les gouvernements demeurent responsables devant leurs citoyens. La représentation politique couvre des sujets relatifs à la répartition du pouvoir dans le système politique, au processus électoral et à l'existence de partis politiques divers et rivaux sur la scène politique.

La bibliothèque de la CEA rend de nombreux ouvrages sur la gouvernance accessibles au personnel pour les besoins de leurs recherches. Elle dispose sur place de la documentation suivante :

Démocratisation en Afrique au sud du Sahara : transitions et virage : un bilan de la littérature (1995-1996) / Klaas van Walraven, Céline Thiriot. Leyde, Pays-Bas : Afrika-Studiecentrum, 2002. Research reports (Rijksuniversiteit te Leiden. Afrika-Studiecentrum) ; 66/2002. iv, 219 p.

Globalisation and democratic governance in Tanzania / Chachage Seithy L Chachage. Addis Ababa : Development Policy Management Forum, 2003. DPMF Occasional paper, no. 10. 38 p.

Governance and growth / Mark Gradstein. Journal of development economics, vol. 73, no. 2 (Apr. 2004). p. 505-518.

Government and environmental policy / Forum for Social Studies. Addis Ababa : Forum for Social Studies, 2004. Consultation papers on the environment, no.5. 23 p.

Leadership and social transformation in the public sector : moving from challenges to solutions / UN. New York : United Nations, 2004. v, 154 p.

Report of the conference on "The challenges of globalization in Africa : what role for civil society and other stakeholders?" / Development Policy Management Forum. Addis Ababa : Development Policy Management Forum, 2003. 60 p.

The quest for peace in Africa : transformations, democracy and public policy / Alfred G. Nhema (editor). Utrecht : International Books, 2004, 416 p.

Quelques revues traitent de la représentation politique : **Democratization, Development and Change, Governance : an international journal of policy, administration and institutions** et **Review of Policy Research**.

La gestion économique et la gouvernance des entreprises

La gestion économique et la gouvernance des entreprises renvoient mécanismes mis en place pour gérer les institutions économiques et financières et pour établir les dispositions réglementaires nécessaires à la croissance du secteur privé. Suite au démantèlement du système des pays à économie planifiée dans les années 1990, le secteur privé, tout comme l'investissement étranger, est de plus en plus perçu comme un moteur de la croissance économique. La gestion économique et la gouvernance des entreprises reposent notamment sur la responsabilité et la gestion des finances publiques ; l'intégrité des systèmes monétaires et financiers ; le développement du secteur privé ; l'efficacité et l'équité de la fiscalité ; la promotion des investissements ; la comptabilité et la vérification des comptes et la criminalité et les investissements.

Sur ce sujet, la bibliothèque de la CEA dispose de la documentation suivante :

Governance and bank valuation / Gerard Caprio, Luc Laeven, and Ross Levine. Washington, D.C. : World Bank, [2003]. Policy research working papers ; 3202. 48 p.
<http://www.econ.worldbank.org>.

Industrial structure, technical change, and the role of government in development of the electronics and information industry in Taipei, China / Yeo Lin. Manila : Asian Development Bank, 2003. ERD working paper ; no. 41.
http://www.adb.org/Documents/ERD/Working_Papers/wp041.pdf.

Le rôle des exportations dans le processus de croissance économique dans la Côte d'Ivoire: ses implications pour des stratégies de création d'emplois durables / Félix Fofana N'Zué. African development review, vol. 15, no. 2/3 (Dec.2003). p. 199 – 217.

Lurking in the shadows : the informal sector and government policy / Jane Ihrig and Karine S. Moe. Journal of development economics, vol. 73, no. 2 (Apr. 2004). p. 541-557.

Quelques revues traitent de la gestion économique et la gouvernance des entreprises : **Corporate governance : an international review, Journal of Political Economy, Journal of Regulatory Economics, Public Money & Management** et **Review of African Political Economy**.

L'efficacité et la responsabilité institutionnelles

La création d'institutions nationales, capables de répondre aux exigences des citoyens, est essentielle pour instaurer la bonne gouvernance. L'efficacité institutionnelle au niveau étatique est basée, entre autres, sur le respect de l'état de droit, l'indépendance judiciaire, la transparence, et l'équilibre et l'indépendance entre les différents pouvoirs du gouvernement. Bien que l'Etat demeure le principal acteur du développement, le rôle des institutions non étatiques, telles que les organisations de la société civile, le secteur privé ou les médias, ne peut être ignoré, car leur contribution au développement national est déjà considérable. L'efficacité et la responsabilité institutionnelles concernent les trois pouvoirs du gouvernement (le judiciaire, le législatif et l'exécutif) ; le respect des droits de l'homme ; l'amélioration des mécanismes de maintien de l'ordre ; les organisations de la société civile et ; l'indépendance des médias.

Sur ce sujet, la bibliothèque de la CEA dispose sur place de la documentation suivante :

African decentralization : local actors, powers and accountability / Jesse C. Ribot. Geneva: United Nations Research Institute for Social Development, 2002. Democracy, governance and human rights programme paper ; no. 8. ix, 89 p.

Building capacity in Africa : the impact of institutional, policy and resource factors / Mulatu Wubneh. African development review, vol. 15, no. 2/3 (Dec.2003). p. 165-198.

Does greater accountability improve the quality of delivery of public services? Evidence from Uganda / Klaus Deininger and Paul Mpuga. Washington, D.C. : World Bank, [2004]. Policy research working papers ; 3277. 26 p. <http://www.econ.worldbank.org>.

Feeling the heat? Anticorruption mechanisms in comparative perspective / Moshe Maor. Governance, vol. 17, no. 1 (Jan. 2004), pp. 1-28.

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